



Individual FATCA Self-Certification

个人《海外帐户税务合规法案》自行认证

To: BTL Asset Management Company Limited

致: 银信资产管理有限公司

(Please **TICK** the appropriate box below. 请在以下合适的空格内划上**剔号**。)

Does a client hold U.S. citizenship or resident alien for tax purpose status?

阁下是否具有美国公民或税务居民身分?

Yes 是 No 否

If yes, please specify TIN 如是, 请提供税务身份号码: _____

I/We hereby confirm the information provided above is true, accurate and complete. If at any time, a change in circumstances occurs that causes any of the information provided above to become no longer true, I/we will inform BTL Asset Management Company Limited within 30 days.

本人/吾等确认以上所提供的资料均属真实、准确及完整。如于任何时间情况有变, 致使以上提供的任何资料不再属实, 本人/吾等会于 30 日内通知银信资产管理有限公司。

Signature of Client 客户签名

Date 日期

Name of Client 客户姓名

Client Account Number 客户帐户号码



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备注: U.S. citizen or resident alien is:

- Any person who holds U.S. citizenship(U.S. passport holder), or
- Any person who are Green Card holder, or
- Any person who physically presents in the United States for a total of 183 days or more during the calendar year, or
- Any person who physically presents in the United States for a total of 31 days or more during the calendar year, and 183 days or more during the 3-year period that includes the current year and the 2 years immediately before that, counting:
 - ◇ All the days your were present in the current year, and
 - ◇ 1/3 of the days you were present in the first year before the current year, and
 - ◇ 1/6 of the days you were present in the second year before the current year.

美国公民或税务居民指:

- 具有美国国籍者(持有美国护照);
- 持有绿卡者;
- 当年度于美国停留超过 183 天;
- 当年度入境并在美国停留超过 31 天, 同时根据「前三年审核期」的计算超过 183 天, 「前三年审核期」系指:
 - ◇ 今年停留美国境内天数, 加上
 - ◇ 去年停留美国境内天数 * 1/3, 加上
 - ◇ 前年停留美国境内天数 * 1/6。